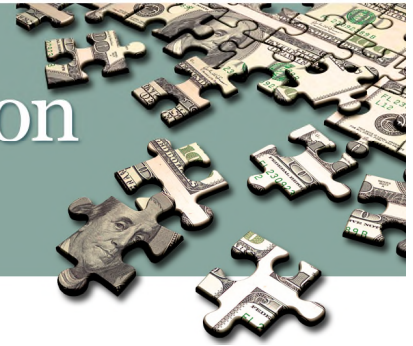


# Financial Services Regulation

IN A TIME OF CHANGE



Agenda | June 15, 2017

<b>8:30 a.m.</b>	<b>REGISTRATION &amp; BREAKFAST</b>	
<b>9:00 a.m.</b>	<b>WELCOMING REMARKS</b>	Steve Kaplan
<b>9:15 a.m.</b>	<b>DERIVATIVES REGULATION</b>	Jerome Roche Douglas Donahue Ed Parker
	<ul style="list-style-type: none"> <li>◆ Margin rules from the CFTC and US prudential regulators</li> <li>◆ Ongoing CFTC and SEC rulemaking and interpretation</li> <li>◆ Cross-border impact of the European Market Infrastructure Regulation (EMIR)</li> </ul>	
<b>10:00 a.m.</b>	<b>COFFEE BREAK</b>	
<b>10:15 a.m.</b>	<b>LITIGATION</b>	Debra Bogo-Ernst Mark Hanchet Matthew Ingber
	<ul style="list-style-type: none"> <li>◆ Developments in Justice Against Sponsors of Terrorism Act (JASTA) and secondary liability</li> <li>◆ Status of RMBS litigation post financial crisis and lessons learned</li> <li>◆ Fair lending and class action litigation</li> </ul>	
<b>11:15 a.m.</b>	<b>ENFORCEMENT TRENDS</b>	Marc Cohen Alex Lakatos Stephanie Robinson Dan Stein
	<ul style="list-style-type: none"> <li>◆ Recent developments in:             <ul style="list-style-type: none"> <li>○ Anti-money laundering and sanctions compliance</li> <li>○ Anti-terrorism financing laws and legislation</li> <li>○ Consumer financial services enforcement</li> </ul> </li> </ul>	
<b>12:15 p.m.</b>	<b>LUNCHEON – LEGISLATIVE DEVELOPMENTS - WHAT’S HAPPENING IN DC</b>	Thomas Delaney
<b>1:30 p.m.</b>	<b>SECURITIZATION</b>	Carol Hitselberger Jason Kravitt Stuart Litwin Angela Ulum
	<ul style="list-style-type: none"> <li>◆ A focus on recent regulatory and legal developments affecting the securitization market, including:             <ul style="list-style-type: none"> <li>○ Regulation AB II</li> <li>○ Risk retention</li> <li>○ Reform under the Trump administration</li> <li>○ Regulatory capital/liquidity rules</li> <li>○ Marketplace lending</li> </ul> </li> </ul>	
<b>2:45 p.m.</b>	<b>COFFEE BREAK</b>	
<b>3:00 p.m.</b>	<b>TECHNOLOGY AND INNOVATION IN FINANCIAL SERVICES</b>	Melanie Brody Mark Compton Steve Kaplan
	<ul style="list-style-type: none"> <li>◆ Effective regulation of fintech companies, including fintech charter options</li> <li>◆ Project Innovate – the UK FCA’s approach to the regulation of fintech</li> <li>◆ Alternative data, the use of technology for underwriting and fair lending/UDAAP implications</li> <li>◆ Third party access to customer account information</li> </ul>	
<b>4:00 p.m.</b>	<b>KEEPING UP WITH CYBER</b>	Marcus Christian David Simon Jeff Taft
	<ul style="list-style-type: none"> <li>◆ Preparing for and managing a cybersecurity incident in light of evolving threats and increasing complexity</li> <li>◆ Key elements of an effective cybersecurity program</li> <li>◆ Understanding and complying with the NYDFS cybersecurity regulation</li> </ul>	
<b>5:00 p.m.</b>	<b>CLOSING REMARKS</b>	Thomas Delaney