

Client Alert

January 7, 2009

FTC Announces 2009 Hart-Scott-Rodino Filing Thresholds

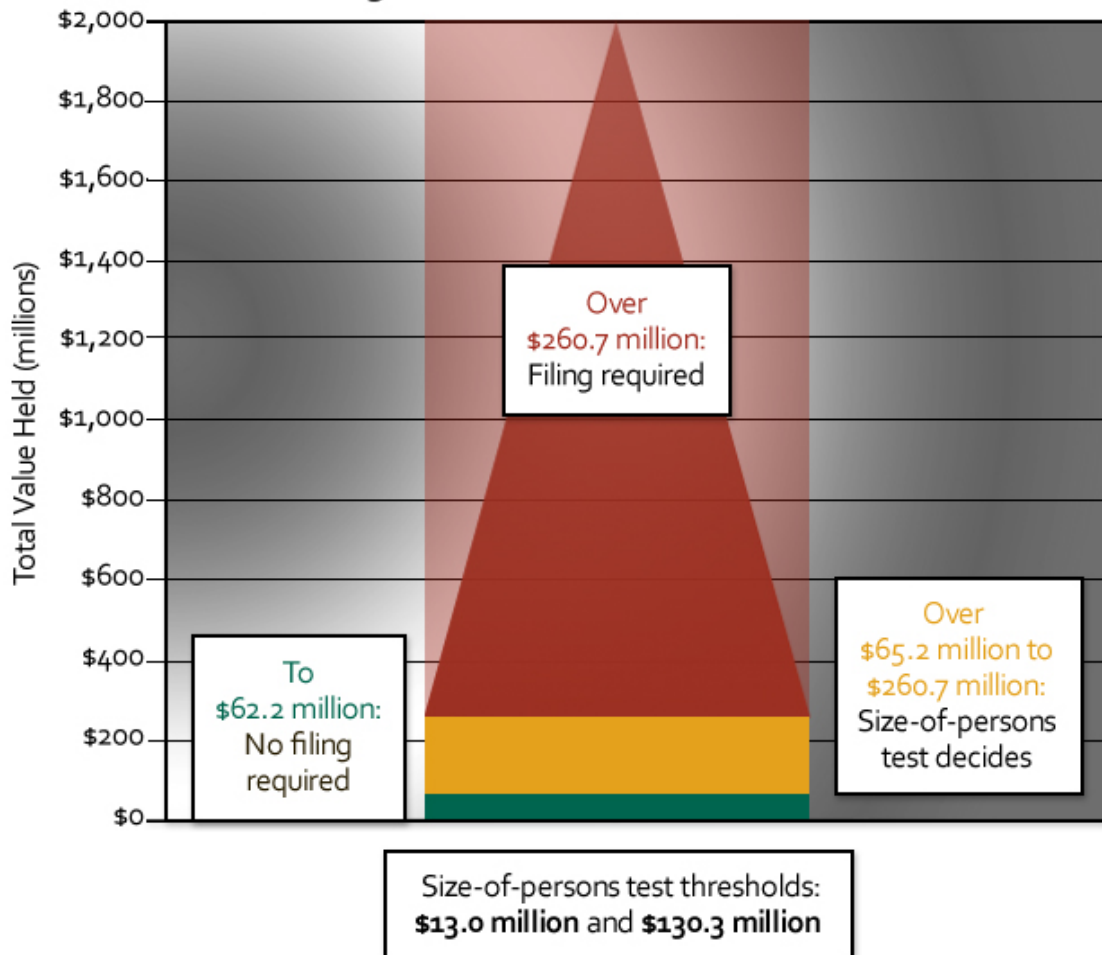
Areas of Interest

Antitrust & Competition

United States

On January 6th, the Federal Trade Commission announced the 2009 Hart-Scott-Rodino filing thresholds. As illustrated below, the 2009 size-of-transaction thresholds are **\$65.2 million** and **\$260.7 million**. Transactions resulting in holdings of securities or assets valued at \$65.2 million or less are not reportable; transactions resulting in holdings of securities or assets valued above \$260.7 million are reportable (unless an exemption applies). For non-exempt transactions resulting in holdings of securities or assets valued at greater than \$65.2 million and up to \$260.7 million, reportability will depend on the size-of-persons test. For 2009, the size-of-persons thresholds for total assets or annual net sales are **\$13.0 million** and **\$130.3 million**. The same thresholds apply to acquisitions of controlling equity interests (50 percent or more) in non-corporate entities (e.g., partnerships and limited liability companies).

Hart-Scott-Rodino
2009 Size-of-Transaction Thresholds



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