

Financial Services Forum



Agenda

Time	Panel	Speakers
8:00 a.m. – 8:20 a.m.	Registration and Breakfast	
8:20 a.m. – 8:30 a.m.	Welcome Remarks	Thomas Delaney
8:35 a.m. – 9:30 a.m.	Update on Bank Regulatory Developments <ul style="list-style-type: none"> Proposed changes to Federal Reserve’s control standards under the BHCA. Proposed changes to regulations implementing Dodd-Frank enhanced prudential standards. 	David Sahr Donald Waack
9:30 a.m. – 10:30 a.m.	Investment in Fintech Companies <ul style="list-style-type: none"> Spectrum of and trends in financial institution investments in fintech companies. Collaboration deals, including licensing, service agreements and platform/white label arrangements. Investment transactions, including minority investments, majority investments and full company acquisitions. Regulatory due diligence and considerations for financial institutions making fintech investments. 	David Beam Brad Peterson Elizabeth (Libby) Raymond Jason Wagenmaker
10:30 a.m. – 10:45 a.m.	Break	
10:45 a.m. – 11:30 a.m.	Capital Markets/Derivatives <ul style="list-style-type: none"> Scope of the CFTC’s and exchanges’ jurisdiction. Current derivatives regulatory environment. Recent trends in derivatives enforcement. Regulatory and market developments affecting capital-raising by financial institutions. Liability management transactions to address LIBOR and other concerns. 	Matthew Kluchenek Anna Pinedo

Financial Services Forum



Time	Panel	Speakers
11:30 a.m. – 12:30 p.m.	<p>Developments in Privacy Regulation</p> <ul style="list-style-type: none">• California Consumer Privacy Act and the steps that financial services companies should consider prior to the effective date.• Recent changes to state breach notification laws and emerging trends.• State and federal laws related to the safeguarding of customer information, including the FTC's proposed change to the GLB Act safeguarding rules.	<p>Marcus Christian Melissa Richards Jeffrey Taft</p>
12:30 p.m. – 1:30 p.m.	Lunch	