

THE NY DFS CYBER REGULATION

# How to Comply and How to Respond to a Cyber Incident

Wednesday, April 5, 2017  
Mayer Brown  
1221 Avenue of the Americas  
New York, NY 10020-1001

## Agenda

- 2:00 p.m. – 2:30 p.m. Registration**
- 2:30 p.m. Opening Remarks**  
*Rajesh De, Partner and Leader of Cybersecurity & Data Privacy Practice, Mayer Brown*
- 2:30 – 3:30 p.m. Understanding and Complying with the DFS Regulation**  
PANELISTS  
*John Connell, Managing Director, UnitedLex*  
*Lawrence R. Hamilton, Partner, Mayer Brown*  
*Timothy Morris, President & CEO, Hanover Stone Solutions*  
*Jeffrey P. Taft, Partner, Mayer Brown*  
MODERATOR / **James R. Woods, Partner, Mayer Brown**
- 3:30 p.m. – 3:40 p.m. Refreshment Break**
- 3:40 p.m. – 4:00 p.m. Remarks from Rajesh De, Partner and Leader of Cybersecurity & Data Privacy Practice, Mayer Brown**
- 4:00 p.m. – 5:00 p.m. Responding to a Cybersecurity Event and the Potential Impact of the Regulation**  
PANELISTS  
*Marcus A. Christian, Partner, Mayer Brown*  
*Siobhan Gorman, Director, Brunswick Group*  
*Martin Schwartzman, Principal, SBL Solutions LLC*  
*Jason Straight, SVP, Chief Privacy Officer – Cyber Risk Solutions, UnitedLex*  
MODERATOR / **James R. Woods, Partner, Mayer Brown**
- 5:00 p.m. Cocktail Reception**

## Our Panelists Today

(Presented in alphabetical order)



### Marcus A. Christian

*Partner, Mayer Brown*

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Marcus is a member of the firm's Cybersecurity & Data Privacy practice. Marcus represents clients in matters involving data security planning, board governance of cybersecurity, cyber fraud, data breach response, and congressional investigations, among others. Prior to joining Mayer Brown, he was the executive assistant United States attorney at the US Attorney's Office for the Southern District of Florida, the third-highest ranking position in one of America's largest and busiest offices of federal prosecutors.



### John Connell

*Managing Director, UnitedLex*

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John Connell is a managing director in the Client Solutions practice of UnitedLex, where he assesses clients' electronic needs related to cyber security initiatives, digital investigations, intellectual property protection, information management and retention, and electronic discovery.



### Rajesh De

*Partner, Mayer Brown*

[rde@mayerbrown.com](mailto:rde@mayerbrown.com)

Raj De leads the firm's global Cybersecurity & Data Privacy practice and co-leads the firm's National Security practice group, and is a member of the firm's Congressional Investigations & Crisis Management team. After nearly two decades in private practice and public service across all three branches of the United States government, Raj is one of the most trusted voices in Washington. He has held senior appointments in the White House, the Department of Justice and the Department of Defense. He most recently served as General Counsel at the United States National Security Agency.



## Siobhan Gorman

*Director, Brunswick Group*  
[sgorman@brunswickgroup.com](mailto:sgorman@brunswickgroup.com)

Siobhan Gorman is a Director at Brunswick Group, focusing on cybersecurity and privacy. She specializes in breach preparedness, breach crisis response, and thought leadership initiatives in the cybersecurity arena. Prior to joining Brunswick, she had a successful career as a reporter, most recently at *The Wall Street Journal* where she covered topics such as national security, cybersecurity, and privacy issues.



## Lawrence R. Hamilton

*Partner, Mayer Brown*  
[lhamilton@mayerbrown.com](mailto:lhamilton@mayerbrown.com)

Larry Hamilton focuses his practice on mergers, acquisitions and regulatory compliance of insurance companies and registered investment companies. He advises insurance companies and insurance agencies on a broad range of regulatory issues, including those associated with e-commerce, cybersecurity and business process outsourcing. He is also a member of Mayer Brown's Cybersecurity & Data Privacy practice.



## Timothy Morris

*President & CEO, Hanover Stone Solutions*  
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Tim Morris, whose career in the property casualty insurance industry spans more than 40 years, has held a number of senior positions with leading insurers as well as with financial advisory firms serving the insurance sector. He was recruited by Hanover Stone Partners in 2014 to establish and lead Hanover Stone Solutions, after serving since 2008 as a managing partner with Farmington Capital Partners, a financial advisory and merchant banking firm.



## Martin Schwartzman

*Principal, SBL Solutions LLC*  
[martinschwartzman@verizon.net](mailto:martinschwartzman@verizon.net)

Martin Schwartzman has 35 years of regulatory and financial experience with the NYS Department of Insurance and the NYS DFS where, among other roles, he served as First Deputy Superintendent of the NYS Insurance Department and Senior Advisor to the Superintendent of the NYS DFS. In his current role at SBL Solutions LLC, he provides consulting services for insurance regulatory matters.



## Jason Straight

*SVP, Chief Privacy Officer – Cyber Risk Solutions, UnitedLex*  
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Jason Straight leads the Cyber Risk Solutions Practice at UnitedLex, which specializes in cyber security incident response, forensics, strategic security risk consulting and managed security services. Prior to joining UnitedLex, Jason spent more than a decade at a leading global investigations firm and began his career in private practice. He is a recognized domain expert and Certified Information Privacy Professional.



## Jeffrey R. Taft

*Partner, Mayer Brown*  
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Jeff Taft is a financial services regulatory attorney whose practice focuses primarily on bank regulation, bank receivership and insolvency issues, payment systems, consumer financial services, cybersecurity/privacy issues and anti-money laundering laws. He has extensive experience counseling financial institutions, merchants, technology companies and other entities on various federal and state banking and consumer credit issues.



## James R. Woods

*Partner, Mayer Brown*  
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Jim Woods is a partner in Mayer Brown's Corporate & Securities practice and Global Insurance Industry Group. He has more than 40 years' experience advising clients across the US and globally on a wide variety of issues including cyber attacks and is a member of Mayer Brown's Cybersecurity & Data Privacy practice.

**Thank you for attending today's seminar.**

Please address any questions directly to our panelists.