

Financial Services Regulation

IN A POST DODD-FRANK WORLD



Agenda | June 16, 2016

8:30 a.m.	REGISTRATION & BREAKFAST	
9:00 a.m.	WELCOMING REMARKS	Thomas Delaney
9:15 a.m.	RECENT DEVELOPMENTS IN BANK REGULATION & POLICY	
	<ul style="list-style-type: none"> ◆ Regulatory capital and liquidity developments ◆ Section 165, including single counterparty credit limit proposal and July 2016 implementation issues for FBOs ◆ Executive compensation ◆ The Volcker Rule 	Scott Anenberg Thomas Delaney David Sahr Donald Waack
10:15 a.m.	COFFEE BREAK	
10:30 a.m.	DERIVATIVES REGULATION	
	<ul style="list-style-type: none"> ◆ Update on CFTC and SEC rulemaking and interpretation ◆ The European Market Infrastructure Regulation (EMIR) ◆ Margin rules from the CFTC and prudential regulators 	Curtis Doty Ed Parker Jerome Roche
11:30 a.m.	ANTI-MONEY LAUNDERING, ANTI-TERRORIST FINANCING & COMPLIANCE CHALLENGES	
	<ul style="list-style-type: none"> ◆ Recent anti-money laundering regulatory developments ◆ Recent judicial and legislative developments in anti-terrorist financing ◆ Issues for compliance officers 	Marc Cohen Alicia Kinsey Stephanie Robinson
12:30 p.m.	LUNCHEON	
1:45 p.m.	SECURITIZATION	
	<ul style="list-style-type: none"> ◆ A focus on recent regulatory and legal developments affecting the securitization market, including: <ul style="list-style-type: none"> ○ Regulation AB II ○ Risk retention ○ Money market fund reform ○ Regulatory capital/liquidity rules ○ Marketplace lending ○ Developments in assignee liability 	Scott Anenberg Julie Gillespie Stuart Litwin Laurence Platt
2:45 p.m.	COFFEE BREAK	
3:00 p.m.	KEY DEVELOPMENTS IN THE EUROPEAN UNION	
	<ul style="list-style-type: none"> ◆ Brexit ◆ Update on regulatory developments in Europe ◆ Enforcement trends in Europe—looking back and looking forward 	Moderator: David Sahr Alexander Behrens Guy Wilkes
3:45 p.m.	TECHNOLOGY AND INNOVATION IN FINANCIAL SERVICES	
	<ul style="list-style-type: none"> ◆ Innovation in the financial services industry and the regulatory outlook for new and old providers of financial products and services ◆ Evolving consumer and commercial payment products and prospects for expanded use of blockchain technology ◆ Cybersecurity threats and ways to mitigate the risks to your customer information, trade secrets, and reputation 	David Beam Marcus Christian Ori Lev Jeffrey Taft
5:00 p.m.	CLOSING REMARKS	Thomas Delaney